

#### TANA WATER WORKS DEVELOPMENT AGENCY

# REGISTRATION OF FIRMS FOR PROVISION OF HYDROGEOLOGICAL SURVEY SERVICES

## TWWDA/REG/005/2023-2025

# CHIEF EXECUTIVE OFFICER TANA WATER WORKS DEVELOPMENT AGENCY P.O. BOX 1292-10100 NYERI

TEL: 061-2032282

EMAIL: ceo@tanawwda.go.ke

WEBSITE: www.tanawwda.go.ke

CLOSING DATE: Thursday 21st September 2023 at 10.00am



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#### **INTRODUCTION**

- 1.1 This document has been prepared for registration of Consultants wishing to apply. The list of registered Contractors will be used to invite bidders to quote / tender as and when need arises and the list will be valid for the period 2023-2025.
- 1.2 The document includes a form for invitation for registration, instructions to candidates and a letter of application with attached forms for candidates to complete.

**NOTE:** In order for a candidate to be registered, the bidder must meet the qualification Criteria in Section V – Qualification Criteria.



#### SECTION I - INVITATION FOR REGISTRATION

Registration No. TWWDA/REG/005/2023-2025

## Registration Name: REGISTRATION OF FIRMS FOR PROVISION OF HYDROGEOLOGICAL SURVEY SERVICES

1. TANA WATER WORKS DEVELOPMENT AGENCY hereinafter referred as "Procuring entity" intends to invite candidates for **Registration for Provision of Hydrogeological Survey Services** 

Registration is open to all candidates as indicated in Appendix II;

- 2. Eligible candidates may obtain hard copies of the pre-qualification documents from TWWDA's Procurement Office during normal working hours upon payment of a Non-Refundable fee of Kshs.1000 cash or Bankers cheque or alternatively download the document/s for free from the website www.tanawwda.go.ke;
- 3. Applications for tender must be submitted enclosed in plain sealed envelopes marked with the tender name and reference number and deposited in the tender box at Tana Water Works Development Agency, P. O. Box 1292 10100 NYERI, Maji House, Baden Powell Road, addressed to the Chief Executive Officer, Tana Water Works Development Agency so as to be received on or before Thursday, 21st September 2023 at 10.00am
- 4. The tender will be opened on Thursday, 21st September 2023 at 10.15am at TWWDA Boardroom in the presence of the candidates or their representatives who choose to attend.
- 5. Address for obtaining further information about the Registration Document/Submission of Bids/Opening of Bids:

Tana Water Works Development Agency Along Baden Powell Road, Maji House Ground Floor

P.O. Box 1292-10100 Tel: +254-61-2032282

Email: ceo@tanawwda.go.ke

Name: Eng. Philip Gichuki

**Designation: TWWDA CEO/Accounting Officer** 

Signature:

**Date: 28th August 2023** 



## SECTION II - INSTRUCTIONS TO CANDIDATES

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#### SECTION II - INSTRUCTIONS TO CANDIDATES

#### 2.1 Scope of Registration

Tana Water Works Development Agency hereinafter referred to as the procuring entity intends to prequalify candidates for **registration for Provision of Hydrogeological Survey Services** 

- 2.1.1 It is expected that applications will be submitted to be received by the procuring entity not later than **Thursday**, **21st September 2023 at 10.00am**.
- 2.1.2 Registration is open to eligible firms as indicated in appendix to instructions to candidates.

#### 2.2 Submission of Application

Applications for registration shall be submitted in sealed envelopes marked with the registration name and reference number and deposited in the Tender box at Tana Water Works Development Agency, P. O. Box 1292 – 10100 NYERI, Maji House, Baden Powell Road, addressed to the Chief Executive Officer, Tana Water Works Development Agency so as to be received on or before Thursday, 21st September 2023 at 10.00am to be opened immediately thereafter.

- 2.2.1 TANA WATER WORKS DEVELOPMENT AGENCY reserves the right to accept or reject late applications.
- 2.2.2 The name and mailing address of the applicant **SHALL NOT** be marked on the envelope.
- 2.2.3 All the information requested shall be provided in the English language.
- 2.2.4 Failure to provide information that is essential for effective evaluation of the applicant's qualifications or to provide timely clarification or substantiation of the information supplied may result in the applicant's disqualification.

#### 2.3 Eligible Candidates

- 2.3.1 This invitation for registration is open to all candidates who are eligible as defined in Kenya's Public Procurement Law and regulations and as indicated in the appendix to instructions to candidates.
- 2.3.2 The procuring entity's employees, committee members, board members and their relatives (spouse and children) are not eligible to participate in the registration unless where specially allowed under section 131 of the Act.
- 2.3.3 All terms found capable of performing the contract satisfactorily in accordance to the set registration criteria shall be considered.



#### 2.4 Qualification Criteria

2.4.1 Registration will be based on meeting the minimum requirements to pass in the criteria set as shown below. The declaration will be either pass or fail regarding the applicant's general and particular experience and financial position as demonstrated by the applicant's response in the forms provided in Section IV and submitted with the letter of application. The procuring entity reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract.

#### 2.4.2 Qualification Criteria. (See Section V- Qualification Criteria)

#### 2.4.3 Litigation History

The applicant should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five years. A consistent history of awards against the applicant or any partner may result in failure of the application.

#### 2.5 Public Sector companies

2.5.1 Any public owned enterprise may be eligible to qualify if, in addition to meeting all the above requirements, it is also legally and financially autonomous, it operates under commercial law, and it is not a dependent agency of another public entity.

#### 2.6 Conflict of Interest

2.6.1 The applicant shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared these documents. Any such association must be disclosed and may result in the dis-qualification of the applicant.

#### 2.7 Corrupt or Fraudulent Practices

- 2.7.1 The Procuring entity requires that tenderers observe the highest standard of ethics during the procurement process and execution of contracts when used in the present regulations, the following terms are defined as follows;
  - (i) "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution; and
  - (ii) "fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Procuring entity, and includes collusive practice among tenderer (prior to or after tender



- submission) designed to establish tender prices at artificial non-competitive levels and to deprive the Procuring entity of the benefits of free and open competition;
- 2.7.2 The procuring entity will reject a proposal for award if it determines that the tenderer recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question.
- 2.7.3 Further a tenderer who is found to have indulged in corrupt or fraudulent practices risks being debarred from participating in public procurement in Kenya.



#### APPENDIX TO INSTRUCTIONS TO BIDDERS

#### **Notes on the Appendix to the Instruction to Bidders**

- 1. The Appendix to instructions to candidates is intended to assist the procuring entity in providing specific information in relation to the corresponding clause in the instructions to the candidates included in Section II and has to be prepared for each specific procurement.
- 2. The procuring entity should specify in the appendix information and requirements specific to the circumstances of the procuring entity, the goods to be procured and any criteria/s that will apply for registration.
- 3. In preparing the Appendix the following aspects should be taken into consideration;
  - (a) The information that specifies and complements provisions of Section II to be incorporated
  - (b) Amendments and/or supplements if any, to provisions of Section II as necessitated by the circumstances of the goods to be procured to be also incorporated
- 4. Section II should remain unchanged and can only be amended through the Appendix.
- 5. Clauses to be included in this part must be consistent with the public procurement law and the regulations.



## **Appendix to Instructions to Bidders**

The following information regarding the particulars of the documents shall complement supplement or amend the provisions of the instructions to the bidders. Wherever there is a conflict between the provision of the instructions to the bidders and the provisions of the appendix, the provisions of the appendix herein shall prevail over those of the instructions to bidders

INSTRUCTIONS TO BIDDERS REFERENCE	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO BIDDERS
2.1.1	REGISTRATION OF FIRMS FOR PROVISION OF HYDROGEOLOGICAL SURVEY SERVICES
	TWWDA/REG/005/2023-2025
2.14	Not applicable
2.2.1	Closing and opening date will be Thursday, 21st September 2023 at 10.00am and 10.15a.m. respectively.



#### SECTION III- LETTER OF APPLICATION

Date	
To Chief Executive Officer, TANA WATER WORKS DEVELOPN Maji House, Baden Powell Road, P. O. Box 1292 – 10100 Nyeri	MENT AGENCY,
Ladies and/or Gentlemen	
(hereinafter referred to as "the Applicant pre-qualification information provided,	at and act on behalf of (name of firm)  ""), and having reviewed and fully understood all of the the undersigned hereby apply to be prequalified by contract(s) under (Tender No. and Tender name)
Tender number	Tender name
1.	
2.	
3.	
4.	
5.	

- 2. Attached to this letter are copies of original documents defining
  - a) the Applicant's legal status- Valid KRA Tax Compliance Certificate.
  - b) the principal place of business (Business permit) and
  - c) the certification of incorporation (*for applicants who are corporations*), or the place of registration and the nationality of the owners (for applicants who are partnerships or individually-*owned firms*).
  - d) details of the ownership of the firm (Attach CR 12)
  - e) bank account details.
  - f) official contacts (Email address, Telephone Number, Postal Address etc.)
- 3. Your Agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This letter of Application will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting



information, to provide such information deemed necessary and as requested by yourselves to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.

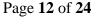
4. Your Agency and its authorized representatives may contact the following persons for further information.

General and managerial inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2
Personnel inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

Technical inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

Financial inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

- 5. This application is made with the full understanding that:
  - (a) Bids by the applicants will be subject to verification of all information submitted for registration at the time of bidding.
  - (b) Your Agency reserves the right to:
    - amend the scope and value of any contracts bid under this project; in such event, bids will only be called from bidders who meet the revised requirements; and
    - reject or accept any application, cancel the tender process, and reject all applications
  - (c) your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them
  - 1. We confirm that if we bid, that bid, as well as any resulting contract, will be:





- (a) signed so as to legally bind all partners, jointly and severally.
- 2. The undersigned declare that the statement made and the information provided in the duly completed application are complete, true, and correct in every detail.

Signed	Signed
Name	Name
For and on behalf of (name of Applicant)	For and on behalf of (name of partner)
Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)
Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

## SECTION IV - STANDARD FORMS

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#### SECTION IV - STANDARD FORMS

#### **Notes on completion of Standard Forms**

Application Form 1 - General information

This form is to be completed by all applicants. Where the applicant proposes to use sub-contractors the information should be supplied in this formation.

in this format.

Application Form 2- Particular Experience Record

This form is to be completed by all applicants meeting the

requirement set out in the instructions to candidates.

Application Form 3 - Personnel Capabilities

This form is to be completed by all applicants. It shall include specific positions essential to contract implementation. The applicants shall provide the names of at least four candidates qualified to meet the specified requirements stated for each position.

Application Form 4- Financial Capability

This form shall be completed by every applicant. It should contained financial information to demonstrate that they meet the requirements stated in the instructions to candidates. If necessary separate sheets should be used to provide complete banker information. A copy of the audited balance sheet if available should be attached. The information should include the summary of actual

assets and liabilities for the last five years.

Application Form 5 - Litigation History

This form is to be completed by all applicants including each member. It should provide information on any history of litigation or arbitration resulting from contracts executed in the last five years

or currently under execution.

Form of Registration - The form of registration must be completed by the bidder and

submitted with the registration documents. It must also be duly

signed by duly authorized representatives of the bidder.

Confidential Business Questionnaire Form - This form must be completed by the bidder and submitted with the registration documents.



## **APPLICATION FORM (1)**

## **GENERAL INFORMATION**

1.	Name of firm	
2.	Head office address	
3.	Telephone	Contact
4.	Fax	E-mail
5.	Place of incorporation/registration	Year of incorporation/registration

Nationality of owners			
	Name	Nationality	
1.			
2.			
3.			
4.			
5.			



## **APPLICATION FORM (2)**

## PARTICULAR EXPERIENCE RECORD

Name of Applicant:		

(Attach details of experience record relevant to this registration)



## APPLICATION FORM (3)

## PERSONNEL CAPABILITIES

Name of Applicant			

1.	Title of position
	Name of prime candidate  Name of alternate candidate
2.	Tile of position
	Name of prime candidate
	Name of alternate candidate
3.	Title of position
	Name of prime candidate
	Name of alternate candidate
4.	Title of position
	Name of prime candidate
	Name of alternate candidate



## **APPLICATION FORM (4)**

## FINANCIAL CAPABILITY

Name of	Applicant:						
Banker	Name of banker						
Address of banker							
	Telephone	••••••	Contact name and title				
	Fax			E ma	nil		
		T					
	information	Actual:				Projected:	
in Kshs.		previous tw	o years			next two years	
		1.	2.		3.	4.	
1. Total a	ssets						
2. Curren	t assets						
3. Total li	iabilities						
4. Currer	nt liabilities						
5. Profits	before						
taxes							
6. Profits	s after taxes						
Source of	finance			Amount	Kshs.		
1.							
2.							
3.							
4.							
				I			



## **APPLICATION FORM (5)**

## LITIGATION HISTORY

Name of Applicant:	

Applicants should provide information of any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution.

Year	Award FOR	Name of client, cause of litigation,	Disputed amount
	or AGAINST	and matter in dispute	(current value
	Applicant		Kshs.)



## **FORM OF REGISTRATION**

		Date
		Registration No.
To:		
[name and add	lress of procuring entity]	
Gentlemen and/or Lad	ies:	
Noswe, the unders	[insert numbers figned, offer to	uments including Addenda  bers].the receipt of which is hereby duly acknowledged supply deliver, install and commission
registration documents 2. We underta	ke, if our document is acc	rt equipment description) in conformity with the said epted, to deliver install and commission the equipment in in the Schedule of Requirements.
	nstructions to tenderers, an	for a period of <b>120</b> [number] days from the date fixed for and it shall remain binding upon us and may be accepted a
		vritten acceptance thereof and your notification of award o signing of the Contract by the parties.
5. We underst	and that you are not bound	d to accept the lowest or any tender you may receive.
Dated this	day of	20
[signature]		[in the capacity of]
Duly authorized to sign	n registration for an on bel	nalf of



## CONFIDENTIAL BUSINESS QUESTIONNAIRE FORM

You are requested to give the particulars indicated in Part 1 and either Part 2(a), 2(b) or 2 (c ) whichever applied to your type of business

You are advised that it is a serious offence to give false information on this form

Part 1 – Gen	eral:			
Plot No Postal Address Nature of Busin Registration Ce. Maximum value	ess ,tificate No	Street/RoaTel Non you can handle at any one time	d E ma	il
		Part 2 (a) – Sole Propri	etor	
Your na Nationa	lity	Country of or Citizenship details	Age	
		Part 2 (b) Partnership		
Given d	etails of partners a			
N	ame	-	Citizenship Details	Shares
	2			
		Part 2 (c) – Registered	Company	
State th No Iss	e nominal and issuminal Kshs	ned capital of company-		
	Name	Nationality	Citizenship Details	Shares
2 3 4				
		Sign		

• If a Kenya Citizen, indicate under "Citizenship Details" whether by Birth, Naturalization or registration.



## SECTION V - QUALIFICATION CRITERIA

## REGISTRATION OF FIRMS FOR PROVISION OF HYDROGEOLOGICAL SURVEY SERVICES

Bidder No Bidde	r Name
-----------------	--------

	STAGE 1			
•	MANDATORY REQUIREMENTS	Yes	No	Remarks
	Submission of valid documents under listed:-			
	Copy of valid Certificate of Incorporation / Registration certificate			
	i) Copy of the latest CR12(for the Incorporated companies)			
	ii) Copy Valid Tax compliance Certificate			
	iii) Copy of valid business permit			
	<ul> <li>iv) The bid document/s to be systematically paginated.</li> <li>v) Submit a duly `filled up` form of registration` in the formation provided in this pre-qualification document, addressed to Tan</li> </ul>			
	Water Works Development Agency. The terms under the form of registration shall be valid for 120 days.			
	vi) A copy of Current Professional Geological Society of Kenya/ Current HSK Corporate Registration Certificate.			
	vii) Copy of current qualified Water Sector Professionals Certificate under the Ministry of Water and in good standing order.			
	STAGE 2			
	TECHNICAL AND OTHER REQUIREMENTS			
		Required Marks	Awarded Marks	Remarks
	i)Volume of business the firm can handle per month (at least 300,000.00)	9		
	ii) Similar jobs carried out in the past . (attach proof in the form of transaction documents)	9		
	iii) Business Experience/Number of years in business,	9		
	iv) Personnel capability and competence	5		
	iv)Previous dealings with TWWDA - will address issues such as:  • Late delivery (Less 3)			
	<ul> <li>Partial delivery (less 3)</li> <li>Poor quality goods/returns e.g. Counterfeit Goods (less 3)</li> <li>NB: Those who haven`t been engaged by TWWDA in the past will be exempted/score all.</li> </ul>	9		
	<ul> <li>Partial delivery(less 3)</li> <li>Poor quality goods/returns e.g. Counterfeit Goods (less 3)</li> <li>NB: Those who haven't been engaged by TWWDA in the past will</li> </ul>	10		



Total Marks	100	
x) Litigation history: Indicate if there are any pending court cases on public procurement matters (Evidenced by a written stamped affidavit by commissioner of oaths)	12	
ix) Complete Confidential Business Questionnaire attached	12	
viii.) Company profile / business profile	5	
vii). Three recommendation letters from reputable firms, at least two from public Institutions	10	

NB: A bidder who will not meet all the mandatory requirement will not proceed for Technical Evaluation.

NB: A bidder shall be registered upon attaining 75% marks and above.

