



TANA WATER WORKS DEVELOPMENT AGENCY

**REGISTRATION OF FIRMS FOR PROVISION OF OCCUPATIONAL SAFETY AND HEALTH
CONSULTANCY SERVICES**

TWWDA/REG /017/2023-2025

**CHIEF EXECUTIVE OFFICER
TANA WATER WORKS DEVELOPMENT AGENCY
P.O. BOX 1292-10100
NYERI**

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WEBSITE: www.tanawwda.go.ke

CLOSING DATE: Thursday 21st September, 2023



TWWDA IS ISO 9001:2015 CERTIFIED

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INTRODUCTION

- 1.1 This document has been prepared for registration of firms wishing to apply. **The list of registered firms will be used to invite bidders to quote / tender as and when need arises and the list will be valid for the period 2023-2025.**
- 1.2 The document includes a form for invitation for tender, instructions to candidates and a letter of application with attached forms for candidates to complete.

NOTE: In order for a candidate to be registered, the bidder must meet the Qualification Criteria in Section V

SECTION I - INVITATION FOR REGISTRATION

Registration No. TWWDA/REG /017/2023-2025

Registration Name: REGISTRATION OF FIRMS FOR PROVISION OF OCCUPATIONAL SAFETY AND HEALTH CONSULTANCY SERVICES

- 1 Tana Water Works Development Agency (TWWDA) hereinafter referred as “Procuring entity” intends to invite candidates for **Registration of Firms for Provision of Occupational Safety and Health Consultancy Services**

Tender is open to all candidates as indicated in Appendix II

- 2 Eligible candidates may obtain hard copies of the bid documents from TWWDA’s Procurement Office during normal working hours upon payment of a Non Refundable fee of Kshs.1000 cash or Bankers cheque or download for free from the website www.tanawwda.or.ke.
- 3 Applications for registration must be submitted enclosed in plain sealed envelopes marked with the registration name and reference number and deposited in the **pre-qualification Box at Tana Water Works development agency, P. O. Box 1292 – 10100 NYERI, Maji House, Baden Powell Road**, addressed to the **Chief Executive Officer, Tana Water Works Development Agency** so as to be received on or before **Thursday 21st September, 2023 at 10.00am**.
- 4 The bids will be opened immediately thereafter at the **TWWDA Boardroom** in the presence of the candidates or their representatives who choose to attend;
- 5 Address for obtaining further information about the Registration Document/Submission of Bids/Opening of Bids:
Tana Water Works Development Agency
Along Baden Powell Road, Maji House Ground Floor
P.O. Box 1292-10100
Tel: +254-61-2032282
Email: ceo@tanawwda.go.ke

Name: Eng. Philip Gichuki

Designation: TWWDA CEO/Accounting Officer

Signature: _____

Date: 28th August 2023



SECTION II - INSTRUCTIONS TO CANDIDATES

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SECTION II - INSTRUCTIONS TO CANDIDATES

2.1 Scope of Registration

1. The Tana Water Works Development Agency (TWWDA) hereinafter referred to as the procuring entity intends to prequalify/register candidates **for Provision of Occupational Safety, Health, Auditing, Training and Consultancy Services.**

2.1.1 It is expected that registration applications will be submitted to be received by the procuring entity not later than **Thursday, 21st September, 2023 at 10.00am**

2.1.2 Registration is open to eligible firms as indicated in appendix to instructions to candidates.

2.2 Submission of Application

Applications for registration shall be submitted in sealed envelopes marked with the registration name and reference number and deposited in the pre-qualification box at **Tana Water Works Development Agency, P. O. Box 1292 – 10100 NYERI, Maji House, Baden Powell Road**, addressed to the **Chief Executive Officer, Tana Water Works Development Agency** so as to be received on or before **Thursday, 21st September, 2023 at 10.00am**

2.2.1 Tana Water Works Development Agency reserves the right to accept or reject late applications.

2.2.2 The name and mailing address of the applicant **SHALL NOT** be marked on the envelope.

2.2.3 All the information requested shall be provided in English language.

2.2.4 Failure to provide information that is essential for effective evaluation of the applicant's qualifications or to provide timely clarification or substantiation of the information supplied may result in the applicant's disqualification.

2.3 Eligible Candidates

2.3.1 This invitation for registration is open to all candidates who are eligible as defined in Kenya's Public Procurement Law and regulations and as indicated in the appendix to instructions to candidates.

2.3.2 The procuring entity's employees, committee members, board members and their relatives (spouse and children) are not eligible to participate in the registration unless where specially allowed under section 131 of the Act.

2.3.3 All terms found capable of performing the contract satisfactorily in accordance to the set registration criteria shall be considered.

2.4 Qualification Criteria

2.4.1 Registration will be based on meeting the minimum requirements to pass in the criteria set as shown below. The declaration will be either pass or fail regarding the applicant's general and particular experience and financial position as demonstrated by the applicant's response in the forms provided in Section IV and submitted with the letter of application. The procuring entity reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract.

2.4.2 Qualification Criteria. (See Section V)

2.4.3 Litigation History

The applicant should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five years. A consistent history of awards against the applicant or any partner may result in failure of the application.

2.5 Public Sector companies

2.5.1 Any public owned enterprise may be eligible to qualify if, in addition to meeting all the above requirements, it is also legally and financially autonomous, it operates under commercial law, and it is not a dependent agency of another public entity.

2.6 Conflict of Interest

2.6.1 The applicant shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared these documents. Any such association must be disclosed and may result in the dis-qualification of the applicant.

2.7 Corrupt or Fraudulent Practices

2.7.1 The Procuring entity requires that bidders observe the highest standard of ethics during the procurement process and execution of contracts when used in the present regulations, the following terms are defined as follows;

- (i) "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution; and

- (ii) “fraudulent practice” means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Procuring entity, and includes collusive practice among bidders (prior to or after tender submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Procuring entity of the benefits of free and open competition;

2.7.2 The procuring entity will reject a proposal for award if it determines that the tenderer recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question.

2.7.3 Further a tenderer who is found to have indulged in corrupt or fraudulent practices risks being debarred from participating in public procurement in Kenya.

APPENDIX TO INSTRUCTIONS TO BIDDERS

Notes on the Appendix to the Instruction to Bidders

1. The Appendix to instructions to candidates is intended to assist the procuring entity in providing specific information in relation to the corresponding clause in the instructions to candidates included in Section II and has to be prepared for each specific procurement.
2. The procuring entity should specify in the appendix information and requirements specific to the circumstances of the procuring entity, the goods to be procured and any criteria/s that will apply for registration.
3. In preparing the Appendix the following aspects should be taken into consideration;
 - (a) The information that specifies and complements provisions of Section II to be incorporated
 - (b) Amendments and/or supplements if any, to provisions of Section II as necessitated by the circumstances of the goods to be procured to be also incorporated
4. Section II should remain unchanged and can only be amended through the Appendix.
5. Clauses to be included in this part must be consistent with the public procurement law and the regulations.

Appendix to Instructions to Bidders

The following information regarding the particulars of the documents shall complement supplement or amend the provisions of the instructions to the bidders. Wherever there is a conflict between the provision of the instructions to the bidders and the provisions of the appendix, the provisions of the appendix herein shall prevail over those of the instructions to bidders.

INSTRUCTIONS TO BIDDERS REFERENCE	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO BIDDERS
Section 1	REGISTRATION OF FIRMS FOR PROVISION OF OCCUPATIONAL, SAFETY, HEALTH, AUDITING, TRAINING AND CONSULTANCY SERVICES. TWWDA/REG/017/2023-2025
2.2.1	<i>Closing and opening date will be Thursday, 21st September, 2023 at 10.00 a.m. and 10.15 a.m. respectively.</i>

SECTION III- LETTER OF APPLICATION

Date

To
**Chief Executive Officer,
Tana Water Works Development Agency
Maji House, Baden Powell Road,
P.O. Box 1292 – 10100 NYERI**

Ladies and/or Gentlemen

1. Being duly authorized to represent and act on behalf of _____ (*name of firm*) (hereinafter referred to as “the Applicant”), and having reviewed and fully understood all of the registration information provided, the undersigned hereby apply to be registration by yourselves as a bidder for the following contract(s) under (Reg. No. and Reg. name)

Registration number	Registration name
1.	
2.	
3.	
4.	
5.	

2. **Attached to this letter are copies of original documents defining**
(a) the Applicant’s legal status
(b) the principal place of business and
(c) the place of incorporation (*for applicants who are corporations*), or the place of registration and the nationality of the owners (*for applicants who are partnerships or individually-owned firms*).

3. Your Agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This letter of Application will also serve as authorization to



any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by you to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.

4. Your Agency and its authorized representatives may contact the following persons for further information.

General and managerial inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

Personnel inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

Technical inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

Financial inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

5. This application is made with the full understanding that:

- (a) Bids by the applicants will be subject to verification of all information submitted for registration at the time of bidding.
- (b) Your Agency reserves the right to:
 - amend the scope and value of any contracts bid under this project; in such event, bids will only be called from bidders who meet the revised requirements; and

- reject or accept any application, cancel the tender process, and reject all applications
- (c) your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them
6. We confirm that if we bid, that bid, as well as any resulting contract, will be:
- a. signed so as to legally binding all partners, jointly and severally.
7. The undersigned declare that the statement made and the information provided in the duly completed application are complete, true, and correct in every detail.

Signed	Signed
Name	Name
For and on behalf of (name of Applicant)	For and on behalf of (name of partner)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

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SECTION IV - STANDARD FORMS

Notes on completion of Standard Forms

- Application Form 1 - General information
This form is to be completed by all applicants. Where the applicant proposes to use sub-contractors the information should be supplied in this format.
- Application Form 2- Particular Experience Record
This form is to be completed by all applicants meeting the requirement set out in the instructions to candidates.
- Application Form 3 - Personnel Capabilities
This form is to be completed by all applicants. It shall include specific positions essential to contract implementation. The applicants shall provide the names of at least four candidates qualified to meet the specified requirements stated for each position.
- Application Form 4- Financial Capability
This form shall be completed by every applicant. It should contain financial information to demonstrate that they meet the requirements stated in the instructions to candidates. If necessary, separate sheets should be used to provide complete banker information. A copy of the audited balance sheet if available should be attached. The information should include the summary of actual assets and liabilities for the last five years.
- Application Form 5 - Litigation History
This form is to be completed by all applicants including each member. It should provide information on any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution.

The form of registration must be completed by the bidder and submitted with the registration documents. It must also be duly signed by duly authorized representatives of the bidder.

Confidential Business Questionnaire Form - This form must be completed by the bidder and submitted with the registration documents.

APPLICATION FORM (1)

GENERAL INFORMATION

1.	Name of firm	
2.	Head office address	
3.	Telephone	Contact
4.	Fax	E-mail
5.	Place of incorporation/registration	Year of incorporation/registration

Nationality of owners		
	Name	Nationality
1.		
2.		
3.		
4.		
5.		

APPLICATION FORM (2)

PARTICULAR EXPERIENCE RECORD

Name of Applicant :

(Attach details of experience record relevant to this registration)

APPLICATION FORM (3)

PERSONNEL CAPABILITIES

Name of Applicant

1.	Title of position Name of prime candidate Name of alternate candidate
2.	Title of position Name of prime candidate Name of alternate candidate
3.	Title of position Name of prime candidate Name of alternate candidate
4.	Title of position Name of prime candidate Name of alternate candidate

APPLICATION FORM (4)

FINANCIAL CAPABILITY

Name of Applicant:

Banker	Name of banker
	Address of banker
 Telephone Contact name and title
	Fax E mail

Financial information in KES.	Actual: previous two years		Projected: next two years	
	1.	2.	3.	4.
1. Total assets				
2. Current assets				
3. Total liabilities				
4. Current liabilities				
5. Profits before taxes				
6. Profits after taxes				
Source of finance	Amount KES.			
1.				
2.				
3.				
4.				

APPLICATION FORM (5)

LITIGATION HISTORY

Name of Applicant:

Applicants should provide information of any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution.

Year	Award FOR or AGAINST Applicant	Name of client, cause of litigation, and matter in dispute	Disputed amount (current value KES.)

4.1 FORM OF REGISTRATION

Date _____

Registration No. _____

To: _____

[name and address of procuring entity]

Gentlemen and/or Ladies:

1. Having examined the registration documents including Addenda Nos. *[insert numbers]*, the receipt of which is hereby duly acknowledged, we, the undersigned, offer to supply deliver, install and commission (..... *(insert equipment description)* in conformity with the said registration documents .

2. We undertake, if our document is accepted, to deliver install and commission the equipment in accordance with the delivery schedule specified in the Schedule of Requirements.

3. We agree to abide by this registration for a period of **120** *[number]* days from the date fixed for PQ submission as per the Instructions to bidders, and it shall remain binding upon us and may be accepted at any time before the expiration of that period.

5. This document, together with your written acceptance thereof and your notification of inclusion in the list of your registered firms, shall constitute a Contract, between us; Subject to signing of the Contract by the parties.

6. We understand that you are not bound to accept the lowest or any tender you may receive.

Dated this _____ day of _____ 20 _____

[signature]

[in the capacity of]

Duly authorized to sign registration for an on behalf of _____

4.2 CONFIDENTIAL BUSINESS QUESTIONNAIRE FORM

You are requested to give the particulars indicated in Part 1 and either Part 2(a), 2(b) or 2 (c) whichever applied to your type of business

You are advised that it is a serious offence to give false information on this form

Part 1 – General:

Business Name

Location of business premises.

Plot No..... Street/Road

Postal Address Tel No. Fax E mail

Nature of Business:

Registration Certificate No.

Maximum value of business which you can handle at any one time – KES.

Name of your bankers Branch

Part 2 (a) – Sole Proprietor

Your name in full Age

Nationality Country of origin

- Citizenship details
-
-

Part 2 (b) Partnership

Given details of partners as follows:

Name	Nationality	Citizenship Details	Shares
1.
2.
3.

4.

Part 2 (c) – Registered Company

Private

or

Public

State the nominal and issued capital of company-

Nominal KES:

Issued KES.

Given details of all directors as follows

	Name	Nationality	Citizenship Details	Shares
1.
2.
3.
4.
5.

- If a Kenya Citizen, indicate under “Citizenship Details” whether by Birth, Naturalization or registration.

SECTION V - QUALIFICATION CRITERIA

BID EVALUATION CRITERIA FOR PROVISION OF OCCUPATIONAL, SAFETY, HEALTH, AUDITING, TRAINING AND CONSULTANCY SERVICES.

Bidder No. BIDDER NAME

STAGE 1				
1.	MANDATORY REQUIREMENTS	Yes	No	Remarks
	Submission of valid documents under listed:-			
	i) Copy of Certificate of Incorporation / Registration certificate			
	ii) Copy of the latest CR12 (for the Incorporated Companies)			
	iii) Valid Tax compliance Certificate form			
	iv) The lead Consultant must be approved by the Directorate of Occupational Safety and Health regulatory to carry out safety and Health Audit in work places (attach evidences)			
	v) Copy of a valid business permit.			
	vi) The bid document/s to be systematically paginated.			
	vii) Submit a duly `filled up` form of registration` in the format provided in this pre-qualification document, addressed to Tana Water Works Development Agency . The terms under the form of registration shall be valid for 120days .			
STAGE 2				
2	TECHNICAL AND OTHER REQUIREMENTS			
		Required Marks	Awarded Marks	Remarks
	i) Similar jobs carried out in the past 5 years (30 marks)	30		
	<ul style="list-style-type: none"> • Name of the organization • Provide contact persons • Attach copies of contracts/LSO 	2 2 6		
	<ul style="list-style-type: none"> • Name of the organization • Provide contact persons 	2 2		



	<ul style="list-style-type: none"> • Attach copies of contracts/LSO 	6		
	<ul style="list-style-type: none"> • Name of the organization • Provide contact persons • Attach copies of contracts/LSO 	2 2 6		
	ii) Litigation history: Indicate if there are any pending court cases on public procurement matters (Evidenced by a written stamped affidavit by commissioner of oaths)	3		
	iii) Qualification and Competency of the key staff Team Leader/Key staff Relevant degree qualification (Attach copies of certificates) Over 5years relevant experience Less than 5years experience Other relevant staff (more than 4 staff =8Marks) Relevant degree/diploma (attach copies of certificates 2 marks each) Overall work experience (attach DOSH certificates and firms where the audits were conducted) <ul style="list-style-type: none"> • More than 5years (marks each) • Less than 5 years (3 marks each) 	3 7 5 3 8 8 4 3		
	iv) Adequacy of proposed workplan and methodology in responding to the terms of reference (TOR) and giving clarity on the following i. Methodology of undertaking the OSHS audit ii. Detailed work plan/schedule ,completion period indicated	20 10 10		
	v) Recommendation letters from 3 firms	15		
	Total Marks	100		

NB: A bidder shall be considered to have passed technical evaluation upon attaining 75%.