



TANA WATER WORKS DEVELOPMENT AGENCY

REGISTRATION OF FIRMS FOR CONSULTANCY SERVICES IN DESIGN AND CONSTRUCTION SUPERVISION OF DAMS

TWWDA/REG/021/2023-2025

**CHIEF EXECUTIVE OFFICER
TANA WATER WORKS DEVELOPMENT AGENCY
P.O. BOX 1292-10100
NYERI**

TEL: 061-2032282

EMAIL: ceo@tanawwda.go.ke

WEBSITE: www.tanawwda.go.ke

CLOSING DATE: Thursday 21st September, 2023 at 10.00am

Table of Contents	Page
INTRODUCTION	3
SECTION I - Invitation for Registration.....	4
SECTION II - Instructions to Candidates.....	5
Appendix to Instructions to Candidates.....	9
SECTION III - Letter of application.....	12
SECTION IV - Standard Forms.....	15
General information - (Form1).....	17
Particular experience Record - (Form 2).....	18
Personnel capabilities - (Form3).....	19
Financial Capability - (Form 4).....	20
Litigation History - (Form5).....	21
Registration Form -	22
Confidential Business Questionnaire.....	23
SECTION V - Qualification Criteria	24

INTRODUCTION

- 1.1 This document has been prepared for registration of Consultancy Firms wishing to apply.
The list of registered Consultants will be used to invite bidders to quote / tender as and when need arises and the list will be valid for the period 2023-2025
- 1.2 The document includes a form for invitation for registration, instructions to candidates and a letter of application with attached forms for candidates to complete.

NOTE: In order for a candidate to be registered, the bidder must meet the Qualification Criteria in Section V.

SECTION I - INVITATION FOR REGISTRATION

Registration No. TWWDA/REG/021/2023-2025

Registration Name: Registration of Firms for Consultancy Services in Design and Construction Supervision of Dams

1. Tana Water Works Development Agency (TWWDA) hereinafter referred as “Procuring entity” intends to invite candidates for **Registration of Firms for Consultancy Services in Design and Construction Supervision of Dams**. Registration is open to all candidates as indicated in Appendix II;
2. Eligible candidates may obtain **hard copies** of registration documents from TWWDA’s Procurement Office during normal working hours upon payment of a Non-Refundable fee of Kshs.1000 cash or Bankers cheque or alternatively download the document for free from the website www.tanawwda.go.ke;
3. Applications for registration must be submitted enclosed in plain sealed envelopes marked with the registration name and reference number and deposited in the **pre-qualification box at Tana Water Works Development Agency, P. O. Box 1292 – 10100 Nyeri, Maji House, Baden Powell Road**, addressed to the **Chief Executive Officer, Tana Water Works Development Agency** so as to be received on or before **Thursday 21st September, 2023 at 10.00am**;
4. The bids will be opened on **Thursday 21st September, 2023 at 10.15 a.m. TWWDA Maji House Boardroom** in the presence of the candidates or their representatives who choose to attend;
5. Address for obtaining further information about the Registration Document/Submission of Bids/Opening of Bids:

Tana Water Works Development Agency
Along Baden Powell Road, Maji House Ground Floor
P.O. Box 1292-10100
Tel: +254-61-2032282
Email: ceo@tanawwda.go.ke

Name: Eng. Philip Gichuki

Designation: TWWDA CEO/Accounting Officer

Signature: _____

Date: 28th August 2023

Table of Contents

	Page
2.1 Scope of registration	6
2.2 Submission of Applications	6
2.3 Eligible candidates	7
2.4 Qualification criteria.....	7
2.5 Public sector companies.....	8
2.6 Conflict of interest	8
2.7 Corrupt or fraudulent practices.....	8

SECTION II - INSTRUCTIONS TO CANDIDATES



2.1 Scope of Registration

The Tana Water Works Development Agency hereinafter referred to as the procuring entity intends to prequalify candidates for **Registration of Firms for Consultancy Services in Design and Construction Supervision of Dams.**

2.1.1 It is expected that registration applications will be submitted to be received by the procuring entity not later than **Thursday 21st September, 2023 at 10.00am.**

2.1.2 Registration is open to eligible firms as indicated in appendix to instructions to candidates.

2.2 Submission of Application

2.2.1 Applications for registration shall be submitted in sealed envelopes marked with the tender name and reference number and deposited in the Tender box at **Tana Water Works Development Agency, P. O. Box 1292 – 10100 Nyeri, Maji House, Baden Powell Road,** addressed to the **Chief Executive Officer, Tana Water Works Development Agency** so as to be received on or before **Thursday 21st September, 2023 at 10.00am** to be opened immediately thereafter.

2.2.2 Tana Water Works Development Agency reserves the right to accept or reject late applications.

2.2.3 The name and mailing address of the applicant **SHALL NOT** be marked on the envelope.

2.2.4 All the information requested shall be provided in the English language.

2.2.5 Failure to provide information that is essential for effective evaluation of the applicant's qualifications or to provide timely clarification or substantiation of the information supplied may result in the applicant's disqualification.

2.3 Eligible Candidates

- 2.3.1 This invitation for registration is open to all candidates who are eligible as defined in Kenya's Public Procurement Law and regulations and as indicated in the appendix to instructions to candidates.
- 2.3.2 The procuring entity's employees, committee members, board members and their relatives (spouse and children) are not eligible to participate in the registration unless where specially allowed under section 131 of the Act.
- 2.3.3 All firms found capable of performing the contract satisfactorily in accordance to the set tender criteria shall be considered.

2.4 Qualification Criteria

- 2.4.1 Registration will be based on meeting the minimum requirements to pass in the criteria set as shown below. The declaration will be either pass or fail regarding the applicant's general and particular experience and financial position as demonstrated by the applicant's response in the forms provided in Section IV and submitted with the letter of application. The procuring entity reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract.

2.4.2 Qualification Criteria. (Section V – Qualification Criteria)

2.4.3 Litigation History

The applicant should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five years. A consistent history of awards against the applicant or any partner may result in failure of the application.

2.5 Public Sector companies

2.5.1 Any public owned enterprise may be eligible to qualify if, in addition to meeting all the above requirements, it is also legally and financially autonomous, it operates under commercial law, and it is not a dependent agency of another public entity.

2.6 Conflict of Interest

2.6.1 The applicant shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared these documents. Any such association must be disclosed and may result in the dis-qualification of the applicant.

2.7 Corrupt or Fraudulent Practices

2.7.1 The Procuring entity requires that bidders observe the highest standard of ethics during the procurement process and execution of contracts when used in the present regulations, the following terms are defined as follows;

- (i) “corrupt practice” means the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution; and
- (ii) “fraudulent practice” means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Procuring entity, and includes collusive practice among bidders (prior to or after tender submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Procuring entity of the benefits of free and open competition;

2.7.2 The procuring entity will reject a proposal for award if it determines that the tenderer recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question.

2.7.3 Further a tenderer who is found to have indulged in corrupt or fraudulent practices risks being debarred from participating in public procurement in Kenya.

APPENDIX TO INSTRUCTIONS TO BIDDERS

Notes on the Appendix to the Instruction to Bidders

Appendix to Instructions to Bidders

The following information regarding the particulars of the documents shall complement supplement or amend the provisions of the instructions to the bidders. Wherever there is a conflict between the provision of the instructions to the bidders and the provisions of the appendix, the provisions of the appendix herein shall prevail over those of the instructions to bidders

INSTRUCTIONS TO BIDDERS REFERENCE	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO BIDDERS
Section I	Registration of Firms for Consultancy Services in Design and Construction Supervision of Dams TWWDA/REG/021/2023-2025
2.2.1	<i>Closing date will be Thursday, 21st September, 2023 and opened at 10.15a.m.</i>

SECTION III - LETTER OF APPLICATION

Date

To

**Chief Executive Officer,
Tana water works development agency,
Maji House, Baden Powell Road,
P. O. Box 1292 – 10100
NYERI**

Ladies and/or Gentlemen

1. Being duly authorized to represent and act on behalf of _____ (*name of firm*) (hereinafter referred to as “the Applicant”), and having reviewed and fully understood all of the pre-qualification information provided, the undersigned hereby apply to be prequalified by yourselves as a bidder for the following contract(s) under (Tender No. and Tender name)

Tender number	Tender name
1.	
2.	
3.	
4.	
5.	

2. **Attached to this letter are copies of original documents defining**
 - a) the Applicant’s legal status- Valid KRA Tax Compliance Certificate.
 - b) the principal place of business (Business permit) and
 - c) the certification of incorporation (*for applicants who are corporations*), or the place of registration and the nationality of the owners (*for applicants who are partnerships or individually-owned firms*).



- d) details of the ownership of the firm (Attach CR 12)
 - e) bank account details.
 - f) official contacts (Email address, Telephone Number, Postal Address etc.)
3. Your Agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This letter of Application will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by yourselves to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.
 4. Your Agency and its authorized representatives may contact the following persons for further information.

General and managerial inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

Personnel inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

Technical inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

Financial inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

5. This application is made with the full understanding that:



- (a) Bids by tender applicants will be subject to verification of all information submitted for tender at the time of bidding.
- (b) Your Agency reserves the right to:
 - amend the scope and value of any contracts bid under this project; in such event, bids will only be called from bidders who meet the revised requirements; and
 - reject or accept any application, cancel the tender process, and reject all applications
- (c) your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them

6. We confirm that if we bid, that bid, as well as any resulting contract, will be:

- a. signed so as to legally bind all partners, jointly and severally.

7. The undersigned declare that the statement made and the information provided in the duly completed application are complete, true, and correct in every detail.

Signed	Signed
Name	Name
For and on behalf of (name of Applicant)	For and on behalf of (name of partner)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)

SECTION IV - STANDARD FORMS

Table of Contents

Form No.	Name	Page
1.	General information	17
2	Particular experience record.....	18
3	Personnel capabilities.....	19
4.	Financial capability.....	20
5.	Litigation History.....	21
6.	Registration Form.....	22
7.	Confidential Business Questionnaire	21

SECTION IV - STANDARD FORMS

Notes on completion of Standard Forms

- Application Form 1 - General information
This form is to be completed by all applicants. Where the applicant proposes to use sub-contractors the information should be supplied in this format.
- Application Form 2- Particular Experience Record
This form is to be completed by all applicants meeting the requirement set out in the instructions to candidates.
- Application Form 3 - Personnel Capabilities
This form is to be completed by all applicants. It shall include specific positions essential to contract implementation. The applicants shall provide the names of at least four candidates qualified to meet the specified requirements stated for each position.
- Application Form 4- Financial Capability
This form shall be completed by every applicant. It should contain financial information to demonstrate that they meet the requirements stated in the instructions to candidates. If necessary separate sheets should be used to provide complete banker information. A copy of the audited balance sheet if available should be attached. The information should include the summary of actual assets and liabilities for the last five years.
- Application Form 5 - Litigation History
This form is to be completed by all applicants including each member. It should provide information on any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution.
- Registration Form - The registration form must be completed by the bidder and submitted with the bid documents. It must also be duly signed by duly authorized representatives of the tenderer.

Confidential Business Questionnaire Form - This form must be completed by the bidders and submitted with the registration documents.

APPLICATION FORM (1)

GENERAL INFORMATION

1.	Name of firm	
2.	Head office address	
3.	Telephone	Contact
4.	Fax	E-mail
5.	Place of incorporation/registration	Year of incorporation/registration

Nationality of owners		
	Name	Nationality
1.		
2.		
3.		
4.		
5.		

APPLICATION FORM (2)

PARTICULAR EXPERIENCE RECORD

Name of Applicant:

(Attach details of experience record relevant to this registration)

APPLICATION FORM (3)

PERSONNEL CAPABILITIES

Name of Applicant

1.	Title of position Name of prime candidate Name of alternate candidate
2.	Title of position Name of prime candidate Name of alternate candidate
3.	Title of position Name of prime candidate Name of alternate candidate
4.	Title of position Name of prime candidate Name of alternate candidate

APPLICATION FORM (4)

FINANCIAL CAPABILITY

Name of Applicant:

Banker	Name of banker
	Address of banker
	Telephone Contact name and title
	Fax E mail

Financial information in Kshs.	Actual: previous two years		Projected: next two years	
	1.	2.	3.	4.
1. Total assets				
2. Current assets				
3. Total liabilities				
4. Current liabilities				
5. Profits before taxes				
6. Profits after taxes				

Source of finance	Amount Kshs.
1.	
2.	
3.	
4.	

APPLICATION FORM (5)

LITIGATION HISTORY

Name of Applicant:

Applicants should provide information of any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution.

Year	Award FOR or AGAINST Applicant	Name of client, cause of litigation, and matter in dispute	Disputed amount (current value Kshs.)

FORM OF REGISTRATION

Date _____

Registration No. _____

To: _____

[name and address of procuring entity]

Gentlemen and/or Ladies:

1. Having examined the registration documents including Addenda Nos. *[insert numbers]*, the **receipt** of which is hereby duly acknowledged, we, the undersigned, **offer to supply deliver, install and commission** (..... *(insert equipment description)*) in conformity with the said registration documents .
2. We undertake, if our document is accepted, to deliver install and commission the equipment in accordance with the delivery schedule specified in the Schedule of Requirements.
3. We agree to abide by this registration for a period of **120 days** from the date fixed for of the Instructions to tenderers, and it shall remain binding upon us and may be accepted at any time before the expiration of that period.
4. This document, together with your written acceptance thereof and your notification of award, shall constitute a Contract, between us; Subject to signing of the Contract by the parties.
5. We understand that you are not bound to accept the lowest or any tender you may receive.

Dated this _____ day of _____ 20 _____

[signature]

[in the capacity of]

Duly authorized to sign registration for an on behalf of _____



CONFIDENTIAL BUSINESS QUESTIONNAIRE FORM

You are requested to give the particulars indicated in Part 1 and either Part 2(a), 2(b) or 2 (c) whichever applied to your type of business. You are advised that it is a serious offence to give false information on this form

<p><i>Part 1 – General:</i></p> <p>Business Name</p> <p>Location of business premises.</p> <p>Plot No..... Street/Road</p> <p>Postal Address Tel No. Fax E mail</p> <p>Nature of Business ,.....</p> <p>Registration Certificate No.</p> <p>Maximum value of business which you can handle at any one time – Kshs.</p> <p>Name of your bankers Branch</p>

	Part 2 (a) – Sole Proprietor																														
	Your name in full Age Nationality Country of origin • Citizenship details •																														
	Part 2 (b) Partnership																														
	Given details of partners as follows: <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;"></th> <th style="width: 30%;">Name</th> <th style="width: 20%;">Nationality</th> <th style="width: 20%;">Citizenship Details</th> <th style="width: 15%;">Shares</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>2.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>3.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>4.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> </tbody> </table>		Name	Nationality	Citizenship Details	Shares	1.	2.	3.	4.					
	Name	Nationality	Citizenship Details	Shares																											
1.																											
2.																											
3.																											
4.																											
	Part 2 (c) – Registered Company																														
	Private or Public State the nominal and issued capital of company- Nominal Kshs. Issued Kshs. Given details of all directors as follows <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;"></th> <th style="width: 30%;">Name</th> <th style="width: 20%;">Nationality</th> <th style="width: 20%;">Citizenship Details</th> <th style="width: 15%;">Shares</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>2.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>3.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>4.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>5.</td> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> </tbody> </table>		Name	Nationality	Citizenship Details	Shares	1.	2.	3.	4.	5.
	Name	Nationality	Citizenship Details	Shares																											
1.																											
2.																											
3.																											
4.																											
5.																											
Date	Signature of Candidate																														

If a Kenya Citizen, indicate under “Citizenship Details” whether by Birth, Naturalization or registration.



SECTION V - QUALIFICATION CRITERIA

QUALIFICATION CRITERIA: The applicant shall meet the following criteria

EVALUATION STAGES

STAGE 1:				
1.	MANDATORY REQUIREMENTS	Yes	No	Remarks
	Submission of valid documents under listed:-			
	i) Copy of Certificate of Incorporation / Registration certificate			
	ii) Copy of the latest CR12 (for the Incorporated Companies)			
	iii) Valid Tax compliance Certificate			
	iv) Copy of a valid business permit.			
	v) The bid document/s to be systematically paginated.			
	vi) Submit a duly `filled up` form of registration` in the format provided in this pre-qualification document, addressed to Tana Water Works Development Agency . The terms under the form of registration shall be valid for 120 days .			
	vi) Copy of Current Engineering Consulting Firms Registration Certificate with Engineers Board of Kenya and in good standing order.			
	STAGE 2			
2	TECHNICAL AND OTHER REQUIREMENTS			
		Required Marks	Awarde d Marks	Remarks
	i) Volume of business the firm can handle per month (at least KES 500,000.00)	9		
	ii) Similar jobs carried out in the past (attach proof in the form of transaction documents)	9		
	iii) Business Experience/Number of years in business.	9		
	iv) Previous dealings with TWWDA - will address issues such as:- <ul style="list-style-type: none"> • Late delivery (Less 3) • Partial delivery (less 3) • Poor services/quality goods/returns e.g. Counterfeit Goods (less 3) NB: Those who haven`t been engaged by TWWDA in the past will be exempted/score all.	9		

	v) Provide information on: Telephone- landlines/mobiles, E-mail address and contact person (s)	5		
	vi) Attach Certified copy of the Firm`s Bank statement/s for the last one (1) year.	6		
	vii. Attached latest audited Accounts (Years 2021 and 2022) for limited companies and financial statements for non-limited business entities.	10		
	viii. Three recommendation letters from reputable firms with which the candidate has had business in the past.	9		
	ix. Company profile / business profile	10		
	x) Completed/filled in Confidential Business Questionnaire to be attached	12		
	xiii) Litigation history: Indicate if there are any pending court cases on public procurement matters (Evidenced by a written stamped affidavit by commissioner of oaths)	12		
	Total Marks	100		

NB: A bidder who will not meet all the mandatory requirement will not precede for Technical Evaluation.

NB: The bidders shall be registered if he attains 75% and above marks