

#### TANA WATER WORKS DEVELOPMENT AGENCY

# REGISTRATION OF FIRMS FOR PROVISION OF GENERATOR MAINTENANCE SERVICES

# TWWDA/REG/025/2023-2025

# CHIEF EXECUTIVE OFFICER TANA WATER WORKS DEVELOPMENT AGENCY P.O. BOX 1292-10100 NYERI

TEL: 061-2032282

EMAIL: ceo@tanawwda.go.ke WEBSITE: www.tanawwda.go.ke

**CLOSING DATE: THURSDAY 21<sup>ST</sup> SEPTEMBER 2023** 



Table of Contents					Page
INTRODUCTION			••	3	
SECTION I -	Invitation for Registration			4	
SECTION II -	Instructions to Candidates Appendix to Instructions to			5	
SECTION III -	Letter of application			11	
SECTION IV -	Standard Forms			14	
	General information	-	(Form1)		
	Particular experience Record	1 -	(Form 2)		
	Personnel capabilities	-	(Form3)		
	Financial Capability	-	(Form 4)		
	Litigation History	-	(Form5)		
	Registration Form				
	Confidential Business Quest	ionnair	e		
SECTION V	Registration Criteria			23	



#### **INTRODUCTION**

- 1.1 This document has been prepared for registration of suppliers wishing to apply. The list of registered suppliers will be used to invite bidders to quote / tender as and when need arises and the list will be valid for the period 2023-2025.
- 1.2 The document includes a form for invitation for registration, instructions to candidates and a letter of application with attached forms for candidates to complete.

**NOTE:** In order for a candidate to be registered, the bidder must meet the Registration Criteria in Section V.



#### SECTION I - INVITATION FOR PREREGISTRATION

Registration No. TWWDA/REG/025/2023-2025
Registration Name: REGISTRATION OF FIRMS FOR PROVISION OF GENERATOR MAINTENANCE SERVICES

1. Tana Water Works Development Agency hereinafter referred as "Procuring entity" intends to invite candidates for **REGISTRATION OF FIRMS FOR PROVISION OF GENERATOR MAINTENANCE SERVICES** 

Registration is open to all candidates as indicated in Appendix II

- 1. Eligible candidates may obtain hard copies of the registration documents from TWWDA's Procurement Office during normal working hours upon payment of a Non Refundable fee of Kshs.1000 cash or Bankers cheque or alternatively download the document for free from the website <a href="https://www.tanawwda.go.ke">www.tanawwda.go.ke</a>. ;
- 2. Applications for registration must be submitted enclosed in plain sealed envelopes marked with the registration name and reference number and deposited in the Registration box at Tana Water Works Development Agency, P. O. Box 1292 10100 Nyeri, Maji House, Baden Powell Road, addressed to the Chief Executive Officer, Tana Water Works Development Agency so as to be received on or before Thursday, 21st September 2023 at 10.00am.
- 3. The tender will be opened on **Thursday**, **21st September 2023** at the **TWWDA Boardroom** in the presence of the candidates or their representatives who choose to attend;
- 4. Address for obtaining further information about the Registration Document/Submission of Bids/Opening of Bids:

Tana Water Works Development Agency Along Baden Powell Road, Maji House Ground Floor P.O. Box 1292-10100

Tel: +254-61-2032282

Email: ceo@tanawwda.go.ke

Name: Eng. Philip Gichuki

Designation: TWWDA CEO/Accounting Officer

Signature:

Date: 28th August 2023



#### SECTION II - INSTRUCTIONS TO CANDIDATES

# Table of Contents

		Page	
2.1	Scope of registration		6
2.2	Submission of Applications		6
2.3	Eligible candidates		7
2.4	Registration criteria		7
2.5	Public sector companies		7
2.6	Conflict of interest		8
2.7	Corrupt or fraudulent practices		8



#### SECTION II - INSTRUCTIONS TO CANDIDATES

#### 2.1 Scope of Registration

Tana Water Works Development Agency (TWWDA) hereinafter referred to as the procuring entity intends to prequalify candidates for the PROVISION OF GENERATOR MAINTENANCE SERVICES

- 2.1.1It is expected that applications will be submitted to be received by the procuring entity not later than **Thursday**, **21st September 2023 at 10.00am**
- 2.1.2 Registration is open to eligible firms as indicated in appendix to instructions to candidates.

#### 2.2 Submission of Application

- Applications for registration shall be submitted in sealed envelopes marked with the registration name and reference number and deposited in the pre-Registration box at TANA WATER WORKS DEVELOPMENT AGENCY P.O. BOX 1292-10100, NYERI, BADEN POWELL ROAD or be addressed to, The Chief Executive Officer to be received on or before **Thursday**, **21st September 2023 at 10.00am** to be opened immediately thereafter.
- 2.2.1 Tana Water Works Development Agency reserves the right to accept or reject late applications.
- 2.2.2 The name and mailing address of the applicant **SHALL NOT** be marked on the envelope.
- 2.2.3 All the information requested shall be provided in the English language.
- 2.2.4 Failure to provide information that is essential for effective evaluation of the applicant's qualifications or to provide timely clarification or substantiation of the information supplied may result in the applicant's disqualification.

#### 2.3 Eligible Candidates

- 2.3.1 This invitation for registration is open to all candidates who are eligible as defined in Kenya's Public Procurement Law and regulations and as indicated in the appendix to instructions to candidates.
- 2.3.2 The procuring entity's employees, committee members, board members and their relatives (spouse and children) are not eligible to participate in the registration unless where specially allowed under section 131 of the Act.
- 2.3.3 All terms found capable of performing the contract satisfactorily in accordance to the set registration criteria shall be considered.

#### 2.4 Registration Criteria (Section V)

2.4.1 Registration will be based on meeting the minimum requirements to pass in the criteria set as shown below. The declaration will be either pass or fail regarding the applicant's general



and particular experience and financial position as demonstrated by the applicant's response in the forms provided in Section IV and submitted with the letter of application. The procuring entity reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract.

#### 2.4.3 Litigation History

The applicant should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five years. A consistent history of awards against the applicant or any partner may result in failure of the application.

#### 2.5 Public Sector companies

2.5.1 Any public owned enterprise may be eligible to qualify if, in addition to meeting all the above requirements, it is also legally and financially autonomous, it operates under commercial law, and it is not a dependent agency of another public entity.

#### 2.6 **Conflict of Interest**

2.6.1 The applicant shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared these documents. Any such association must be disclosed and may result in the dis-Registration of the applicant.

#### 2.7 Corrupt or Fraudulent Practices

- 2.7.1 The Procuring entity requires that bidders observe the highest standard of ethics during the procurement process and execution of contracts when used in the present regulations, the following terms are defined as follows;
  - (i) "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution; and
  - (ii) "fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Procuring entity, and includes collusive practice among tenderer (prior to or after tender submission) designed to establish tender prices at artificial non-competitive levels and to deprive the Procuring entity of the benefits of free and open competition;
- 2.7.2 The procuring entity will reject a proposal for award if it determines that the tenderer recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question.
- 2.7.3 Further a tenderer who is found to have indulged in corrupt or fraudulent practices risks being debarred from participating in public procurement in Kenya.



#### APPENDIX TO INSTRUCTIONS TO BIDDERS

#### Notes on the Appendix to the Instruction to Bidders

- 1. The Appendix to instructions to candidates is intended to assist the procuring entity in providing specific information in relation to the corresponding clause in the instructions to the candidates included in Section II and has to be prepared for each specific procurement.
- 2. The procuring entity should specify in the appendix information and requirements specific to the circumstances of the procuring entity, the goods to be procured and any criteria/s that will apply for registration.
- 3. In preparing the Appendix the following aspects should be taken into consideration;
  - (a) The information that specifies and complements provisions of Section II to be incorporated
  - (b) Amendments and/or supplements if any, to provisions of Section II as necessitated by the circumstances of the goods to be procured to be also incorporated
- 4. Section II should remain unchanged and can only be amended through the Appendix.
- 5. Clauses to be included in this part must be consistent with the public procurement law and the regulations.



# **Appendix to Instructions to Bidders**

The following information regarding the particulars of the documents shall complement supplement or amend the provisions of the instructions to the bidders. Wherever there is a conflict between the provision of the instructions to the bidders and the provisions of the appendix, the provisions of the appendix herein shall prevail over those of the instructions to bidders.

INSTRUCTIONS BIDDERS REFERENCE	ТО	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO BIDDERS
Section 1		REGISTRATION OF FIRMS FOR PROVISION OF GENERATOR MAINTENANCE SERVICES TWWDA/REG/025/2023-2025
2.2.1		Closing and opening date will be Thursday 21st September 2023 at 10.00 a.m. and 10.15a.m. respectively.



#### SECTION III- LETTER OF APPLICATION

Date .	••••••	
То	THE CHIEF EXECUTIVE OF P.O BOX 1292-10100 NYERI	FFICER
Ladies	s and/or Gentlemen	
registi	nafter referred to as "the Applic ration information provided, the	esent and act on behalf of (name of firm eart"), and having reviewed and fully understood all of the undersigned hereby apply to be registered by yourselves a under (Reg. No. and Reg. name)
Regi	stration number	Registration name
1.		
2.		
3.		
4.		
5.		

- 2. Attached to this letter are copies of original documents defining
  - (a) the Applicant's legal status
  - (b) the principal place of business and
  - (c) the place of incorporation (for applicants who are corporations), or the place of registration and the nationality of the owners (for applicants who are partnerships or individually-owned firms).
- 3. Your Agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This letter of Application will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by yourselves to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.



4. Your Agency and its authorized representatives may contact the following persons for further information.

General and managerial inquiries		
Contact 1	Telephone 1	
Contact 2	Telephone 2	

Personnel inquiries		
Contact 1	Telephone 1	
Contact 2	Telephone 2	

Technical inquiries		
Contact 1	Telephone 1	
Contact 2	Telephone 2	

Financial inquiries	
Contact 1	Telephone 1
Contact 2	Telephone 2

- 5. This application is made with the full understanding that:
  - (a) Bids by applicants will be subject to verification of all information submitted for registration at the time of bidding.
  - (b) Your Agency reserves the right to:
    - amend the scope and value of any contracts bid under this project; in such event, bids will only be called from bidders who meet the revised requirements; and
    - reject or accept any application, cancel the registration process, and reject all applications
  - (c) your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them
  - 1. We confirm that if we bid, that bid, as well as any resulting contract, will be:
    - (a) signed so as to legally bind all partners, jointly and severally.
  - 2. The undersigned declare that the statement made and the information provided in the duly completed application are complete, true, and correct in every detail.



Signed	Signed
Name	Name
For and on behalf of (name of Applicant)	For and on behalf of (name of partner)
Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)
Signed	Signed
Name	Name
For and on behalf (name of Partner)	For and on behalf of (name of partner)



#### SECTION IV - STANDARD FORMS

# **Table of Contents**

Form No.	Name	
		Page
1.	General information	14
2	Particular experience record	15
3	Personnel capabilities	16
4.	Financial capability	17
5.	Litigation History	19
	Registration Form	20
Confide	ntial Business Questionnaire	21



#### SECTION IV - STANDARD FORMS

#### **Notes on completion of Standard Forms**

Application Form 1 - General information

This form is to be completed by all applicants. Where the applicant proposes to use sub-contractors the information should be supplied

in this format.

Application Form 2- Particular Experience Record

This form is to be completed by all applicants meeting the

requirement set out in the instructions to candidates.

Application Form 3 - Personnel Capabilities

This form is to be completed by all applicants. It shall include specific positions essential to contract implementation. The applicants shall provide the names of at least two candidates Registered to meet the specified requirements stated for each

position.

Application Form 4- Financial Capability

This form shall be completed by every applicant. It should contained financial information to demonstrate that they meet the requirements stated in the instructions to candidates. If necessary separate sheets should be used to provide complete banker information. A copy of the audited balance sheet if available should be attached. The information should include the summary of actual

assets and liabilities for the last five years.

Application Form 5 - Litigation History

This form is to be completed by all applicants including each member. It should provide information on any history of litigation or arbitration resulting from contracts executed in the last five years

or currently under execution.

The form of registration must be completed by the bidder and submitted with the registration documents. It must also be duly

signed by duly authorized representatives of the bidder.

Confidential Business Questionnaire Form - This form must be completed by the bidder and submitted with the registration documents.



# **APPLICATION FORM (1)**

# **GENERAL INFORMATION**

1.	Name of firm	
2.	Head office address	
3.	Telephone	Contact
4.	Fax	E-mail
5.	Place of incorporation/registration	Year of incorporation/registration

Nationality of owners		
	Name	Nationality
1.		
2.		
3.		
4.		
5.		



# **APPLICATION FORM (2)**

# PARTICULAR EXPERIENCE RECORD

Name of Applicant :		

(You may attach details of your experience record relevant to this registration)



# **APPLICATION FORM (3)**

# PERSONNEL CAPABILITIES

Name of Applicant			

1.	Title of position
	Name of prime candidate
	Name of alternate candidate
2.	Tile of position
	Name of prime candidate
	Name of alternate candidate
3.	Title of position
	Name of prime candidate
	Name of alternate candidate
4.	Title of position
	Name of prime candidate
	Name of alternate candidate



# **APPLICATION FORM (4)**

# FINANCIAL CAPABILITY

Name of Applicant:								
Banker	Name of bar	Name of banker						
	Address of banker							
	Telephone Contact name and title							
	Fax			E ma	ail			
Financial in Kshs.	information	Actual : previous tw	o years			Projected: next two years		
		1.	2.		3.	4.		
1. Total a	assets							
2. Curren	at assets							
3. Total 1	iabilities							
4. Curren	nt liabilities							
5. Profits taxes	before							
6. Profits	s after taxes							
Source of	f finance			Amount	t Kshs.			
1.								
2.								
3.								
4.								



#### **APPLICATION FORM (5)**

#### LITIGATION HISTORY

Name of Applicant:	

Applicants should provide information of any history of litigation or arbitration resulting from contracts executed in the last five years or currently under execution.

Year	Award FOR	Name of client, cause of litigation,	Disputed amount
	or AGAINST	and matter in dispute	(current value
	Applicant		Kshs.)



# FORM OF REGISTRATION

			Date
To			Registration No.
10	[name and address of p		
Gentle	men and/or Ladies:		
we,	the undersigned,	offer to s	uments including Addenda  bers].the receipt of which is hereby duly acknowledged supply deliver, install and commission  rt equipment description) in conformity with the said
registra	ntion documents .  2. We undertake, if our	document is acc	repted, to deliver install and commission the equipment in the Schedule of Requirements.
		uctions to bidders	a for a period of <b>120</b> [number] days from the date fixed for s, and it shall remain binding upon us and may be accepted
in the li	ist of your registered firm	•	ritten acceptance thereof and your notification of inclusion a Contract, between us; Subject to signing of the Contract
	6. We understand that	you are not bound	d to accept the lowest or any tender you may receive.
Dated t	this	_ day of	20
[signati	ure]		[in the capacity of]
Duly a	uthorized to sign registra	tion for an on beh	nalf of



# CONFIDENTIAL BUSINESS QUESTIONNAIRE FORM

You are requested to give the particulars indicated in Part 1 and either Part 2(a), 2(b) or 2 (c) whichever applied to your type of business

You are advised that it is a serious offence to give false information on this form

Business Name Location of business premises.  Plot No. Street/Road  Postal Address Tel No. Fax E mail  Nature of Business:  Registration Certificate No.  Maximum value of business which you can handle at any one time - Kshs.:  Name of your bankers Branch  Part 2 (a) - Sole Proprietor  Your name in full Country of origin  • Citizenship details  Part 2 (b) Partnership  Given details of partners as follows:  Name	Part 1	– General:			
Your name in full Country of origin  Part 2 (b) Partnership  Given details of partners as follows:  Name Nationality Citizenship Details Shares  1. 2. 3. 4. Part 2 (c) – Registered Company  Private or Public State the nominal and issued capital of company- Nominal Kshs. Issued Kshs.  Given details of all directors as follows  Name Nationality Citizenship Details Shares  1. 2. 3. 4. 5 Shares	Location Plot No. Postal A Nature of Registra Maximu	n of business premises  Address of Business:  attion Certificate No atm value of business which	Street/Roa Tel No	d E mai	il
Your name in full Country of origin  Part 2 (b) Partnership  Given details of partners as follows:  Name Nationality Citizenship Details Shares  1. 2. 3. 4. Part 2 (c) – Registered Company  Private or Public State the nominal and issued capital of company- Nominal Kshs. Issued Kshs.  Given details of all directors as follows  Name Nationality Citizenship Details Shares  1. 2. 3. 4. 5 Shares			Part 2 (a) – Sole Propri	ietor	
Part 2 (b) Partnership  Given details of partners as follows:  Name  Nationality  Citizenship Details  Shares  1.  2.  3.  4.  Part 2 (c) – Registered Company  Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows  Name  Nationality  Citizenship Details  Shares  1.  2.  3.  4.  5.		Your name in full			
Part 2 (b) Partnership  Given details of partners as follows:  Name  Nationality  Citizenship Details  Shares  1.  2.  3.  4.  Part 2 (c) – Registered Company  Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows  Name  Nationality  Citizenship Details  Shares  1.  2.  3.  4.  5.		Nationality	Country of o	rigin	•••••
Part 2 (b) Partnership  Given details of partners as follows:  Name  Nationality  Citizenship Details  Shares  1.  2.  3.  4.  Part 2 (c) – Registered Company  Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows  Name  Name  Nationality  Citizenship Details  Shares  1.  2.  3.  4.  5.		•	Citizenship details		
Given details of partners as follows:  Name  Nationality  Citizenship Details  Shares  1. 2. 3. 4.  Part 2 (c) – Registered Company  Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows  Name  Nationality  Citizenship Details  Shares  1. 2. 3. 4. 5.					
Given details of partners as follows:  Name  Nationality  Citizenship Details  Shares  1. 2. 3. 4.  Part 2 (c ) – Registered Company  Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows  Name  Nationality  Citizenship Details  Shares  1. 2. 3. 4. 5.		•			
Name Nationality Citizenship Details Shares  1		C' 1 . '1 . C			
1. 2. 3. 4. Part 2 (c ) – Registered Company Private or Public State the nominal and issued capital of company- Nominal Kshs. Issued Kshs. Given details of all directors as follows Name Nationality Citizenship Details Shares 1. 2. 3. 4. 5.		-		C''. 1: D : '1	C1
2. 3. 4.  Part 2 (c ) – Registered Company  Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows Name Nationality Citizenship Details Shares  1. 2. 3. 4. 5.		- 1 - 1 - 1 - 1			
Part 2 (c ) – Registered Company  Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows  Name Nationality Citizenship Details Shares  1		_			
Part 2 (c ) – Registered Company  Private or Public		=,			
Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows Name Nationality Citizenship Details Shares  1		• • • • • • • • • • • • • • • • • • • •			
Private or Public  State the nominal and issued capital of company- Nominal Kshs.  Issued Kshs.  Given details of all directors as follows Name Nationality Citizenship Details Shares  1					
State the nominal and issued capital of company- Nominal Kshs			Part 2 (c ) – Registered	l Company	
Nominal Kshs.  Issued Kshs.  Given details of all directors as follows  Name Nationality Citizenship Details Shares  1					
Issued Kshs.  Given details of all directors as follows  Name Nationality Citizenship Details Shares  1					
Given details of all directors as follows Name Nationality Citizenship Details Shares  1					
Name         Nationality         Citizenship Details         Shares           1             2             3             4             5					
1				Citinanahin Dataila	Chana
2		1 (41110	•	<u> </u>	
3					
4					
Date Signature of Candidate					
Date	Date	<u></u>	Sigr	nature of Candidate	

• If a Kenya Citizen, indicate under "Citizenship Details" whether by Birth, Naturalization or registration.



#### SECTION V - REGISTRATION CRITERIA

# BID EVALUATION CRITERIA FOR PROVISION OF GENERATOR MAINTENANCES SERVICES

Bidder No BIDDER NAI	ME
----------------------	----

	STAGE 1			
1.	MANDATORY REQUIREMENTS	Yes	No	Remarks
	Submission of valid documents under listed:-			
	Copy of valid Certificate of Incorporation / Registration certificate			
	i) Copy of the latest CR12(for the Incorporated companies)			
	ii) Copy of Valid Tax compliance Certificate			
	iii) Copy of valid business permit			
	iv) The bid document/s to be systematically paginated.			
	v) Submit a duly `filled up` form of registration` in the format provided in this pre-Registration document, addressed to <b>Tana Water Works Development Agency.</b> The terms under the form of registration shall be valid for <b>120 days</b> .			
	vi) A Copy of Current Membership Certificate with Institution of Surveyors Kenya.			
	STAGE 2			
2	TECHNICAL AND OTHER REQUIREMENTS			
		Required Marks	Awarded Marks	Remarks
	i)Volume of business the firm can handle per month (at least 300,000.00)	9		
	ii) Similar jobs carried out in the past (attach proof in the form of transaction documents)	9		
	iii) Business Experience/Number of years in business ,	9		
	<ul> <li>iv)Previous dealings with TWWDA - will address issues such as:-</li> <li>Late delivery (Less 3)</li> <li>Partial delivery(less 3)</li> <li>Poor quality goods/returns e.g. Counterfeit Goods (less 3)</li> <li>NB: Those who haven`t been engaged by TWWDA in the past will be exempted/score all.</li> </ul>			
	v) Provide information on: Tel- landlines/mobiles, E-mail address and contact person(s)	10		
	vii. Attached audited Accounts for limited companies and financial statements for non-limited business entities.	10		
	viii). Three recommendation letters from reputable firms, at least two from public Institutions	10		
	ix) Personnel capacity and competence	5		
	x.) Company profile / business profile	5		



xi) Complete Confidential Business Questionnaire attached	12	
xii) Litigation history: Indicate if there are any pending court cases on public procurement matters (Evidenced by a written stamped affidavit by commissioner of oaths)	12	
Total Marks	100	

NB: Individual consultants under the `Special groups` that apply for registration of items in this category will be exempted from 1 (i), (ii) and (iii); 2(i), (ii), (iii), (vii) & (viii) BUT they MUST attach copy of a valid AGPO Certificate.

NB: Bidding firm/individuals shall be considered to have been registered upon attaining 75% Marks or above.

